

# A high-order doubly asymptotic open boundary for scalar waves in semi-infinite layered systems

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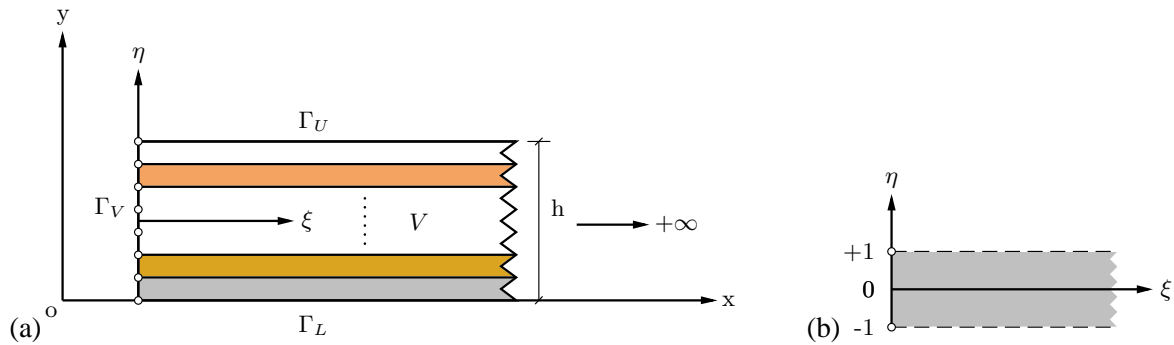
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**Abstract.** Wave propagation in semi-infinite layered systems is of interest in earthquake engineering, acoustics, electromagnetism, etc. The numerical modelling of this problem is particularly challenging as evanescent waves exist below the cut-off frequency. Most of the high-order transmitting boundaries are unable to model the evanescent waves. As a result, spurious reflection occurs at late time. In this paper, a high-order doubly asymptotic open boundary is developed for scalar waves propagating in semi-infinite layered systems. It is derived from the equation of dynamic stiffness matrix obtained in the scaled boundary finite-element method in the frequency domain. A continued-fraction solution of the dynamic stiffness matrix is determined recursively by satisfying the scaled boundary finite-element equation at both high- and low-frequency limits. In the time domain, the continued-fraction solution permits the force-displacement relationship to be formulated as a system of first-order ordinary differential equations. Standard time-step schemes in structural dynamics can be directly applied to evaluate the response history. Examples of a semi-infinite homogeneous layer and a semi-infinite two-layered system are investigated herein. The displacement results obtained from the open boundary converge rapidly as the order of continued fractions increases. Accurate results are obtained at early time and late time.

## 1. Introduction

The problem of scalar waves propagating in a semi-infinite layered system, as shown in Fig. 1(a) occurs in the study of acoustics, composites, earthquake engineering and electromagnetism. To be able to account for nonlinearities occurring in the near field, a transmitting boundary formulated directly in the time domain has to be applied to represent the wave propagation to infinity. Various transmitting boundaries have been proposed for a semi-infinite homogeneous layer [1–3]. Recently, high-order transmitting boundaries [4–10] have been developed to improve the accuracy and efficiency of the low-order ones. A doubly asymptotic open boundary constructed in [11] is used for a semi-infinite homogeneous layer. The two-dimensional problem is decomposed into a series of one-dimensional problems by using the method of separation of variables. It is shown by examining the modal dynamic stiffness in the frequency domain that most of high-order transmitting boundaries are singly asymptotic at the high-frequency limit. Thus, they are appropriate only for radiative fields i.e. virtually all of the field energy propagates out to infinity. However, a cut-off frequency exists in a semi-infinite homogeneous layer. Below the cut-off frequency, evanescent waves present. When the excitation frequency is lower than the cut-off frequency, numerical pollution, which is similar to the fictitious reflection at a fixed or free boundary, occurs in a long time analysis [11]. The study of semi-infinite layered systems directly in the time domain is

rarely reported in the literature. As a transformation to a series of one-dimensional problems by the method of separation of variables is no longer possible, many of the techniques of constructing high-order transmitting boundaries are no longer applicable.



**Figure 1.** Semi-infinite layered system: (a) geometry and (b) scaled boundary coordinates

In this paper, a doubly asymptotic open boundary condition is developed for semi-infinite layered systems. An equation of the dynamic stiffness matrix of a layered system is obtained by using the scaled boundary finite-element method. A doubly asymptotic solution of the dynamic stiffness matrix is formulated as continued fractions. The force-displacement relationship is expressed as a system of first-order ordinary differential equations in the time domain after introducing auxiliary variables. The formulation is local in time. Thus well-established time-stepping schemes in structural dynamics are directly applicable.

## 2. Scaled boundary finite-element method for semi-infinite layered systems

A semi-infinite layered system with a constant depth  $h$  is shown in Fig. 1(a). The out-of-plane (anti-plane) motion  $u = u(x, y, t)$  is considered. The governing differential equation of motion is written as

$$G(u_{,xx} + u_{,yy}) = \rho \ddot{u} \quad (1)$$

where  $G$  and  $\rho$  are the shear modulus and mass density of a layer. The Neumann boundary condition is imposed on the upper boundary  $\Gamma_U$  (i.e.  $u_{,y}(y = h) = 0$ ), and the Dirichlet boundary condition is imposed on the lower boundary (i.e.  $u(y = 0) = 0$ ). The conditions of equilibrium and compatibility have to be satisfied at the interface between two layers. A distributed force  $f(y, t)$  is applied along the vertical boundary  $\Gamma_V$  located at  $x = x_b$ .

The scaled boundary finite-element method (SBFEM) is employed. The derivation of the scaled boundary finite-element equations in dynamic stiffness and in displacement are available in [12, 13]. Only the key concept is summarized in the following:

The vertical boundary is divided into line elements as shown in Fig. 1(a). The shape functions  $[N(\eta)]$  are defined in the local coordinate  $\eta$ . The geometry of the element is described by

$$y_b(\eta) = [N(\eta)]\{y_b\} \quad (2)$$

where  $\{y_b\}$  are the nodal coordinates. The semi-infinite layered system is obtained by continuously shifting the boundary as shown in Fig. 1(b) for one element on the boundary. The coordinate transformation is expressed as

$$x(\xi) = x_b + \xi \quad (3a)$$

$$y(\xi, \eta) = [N(\eta)]\{y_b\} \quad (3b)$$

where  $\xi$  and  $\eta$  are the scaled boundary coordinates.

A displacement function  $\{u(\xi)\}$  is defined on a horizontal line passing through a node on the vertical boundary  $\Gamma_V$ . The displacements in the layers are approximated by interpolating the displacement functions piecewisely

$$\{u(\xi, \eta)\} = [N(\eta)]\{u(\xi)\} \quad (4)$$

By transforming the governing equation (Eq. (1)) to the scaled boundary coordinates (Eq. (3)) and applying the Galerkin's weighted residual method along the vertical direction, the scaled boundary finite-element equation in displacement is formulated as

$$[E^0]\{u(\xi)\}_{,\xi\xi} - [E^2]\{u(\xi)\} - [M^0]\{\ddot{u}(\xi)\} = 0 \quad (5)$$

where  $[E^0]$ ,  $[E^2]$  and  $[M^0]$  are the coefficient matrices obtained from the assembling of the element coefficient matrices as in the finite element method [12]. In the frequency domain (excitation frequency  $\omega$ ), Eq. (5) is expressed as

$$[E^0]\{U(\xi)\}_{,\xi\xi} - [E^2]\{U(\xi)\} + \omega^2[M^0]\{U(\xi)\} = 0 \quad (6)$$

with the amplitude of the displacement functions  $\{U(\xi)\}$ . The amplitude of the internal nodal forces along the horizontal lines are obtained from the integration of the surface traction over the elements

$$\{Q(\xi)\} = [E^0]\{U(\xi)\}_{,\xi} \quad (7)$$

Since the outward normal of the vertical boundary  $\Gamma_V$  is opposite to the  $x$ -direction, the dynamic stiffness matrix  $[S^\infty(\omega)]$  relating the amplitude of the force and displacement functions is defined in

$$-\{Q(\xi)\} = [S^\infty(\omega)]\{U(\xi)\} \quad (8)$$

By eliminating the terms  $\{Q(\xi)\}$  and  $\{U(\xi)\}$  in Eqs. (6), (7) and (8), the scaled boundary finite-element equation in dynamic stiffness is expressed as

$$[S^\infty(\omega)][E^0]^{-1}[S^\infty(\omega)] - [E^2] + \omega^2[M^0] = 0 \quad (9)$$

Equation (9) is simplified by using the following general eigenvalue problems of  $[E^0]$  and  $[M^0]$  ( $[\cdot]$  stands for a diagonal matrix)

$$[M^0][\Phi] = [E^0][\Phi][\Lambda^2]/c_s^2 \quad (10a)$$

$$[\Phi]^T[E^0][\Phi] = [I] \quad (10b)$$

The eigenvalues  $[\Lambda^2]/c_s^2$  and eigenvectors  $[\Phi]$  obtained from the eigen-decomposition in Eq. (10a) can satisfy the orthogonality condition in Eq. (10b). The shear wave speed  $c_s = \sqrt{G/\rho}$  is chosen as that of the softest layer. By using Eq. (10), Eq. (9) is transformed to

$$[\tilde{S}(a_0)]^2 + a_0^2[\Lambda^2] - [\tilde{E}^2] = 0 \quad (11)$$

with

$$[\tilde{S}(a_0)] = h[\Phi]^T[S^\infty(\omega)][\Phi] \quad (12a)$$

$$[\tilde{E}^2] = h^2[\Phi]^T[E^2][\Phi] \quad (12b)$$

and the dimensionless frequency

$$a_0 = \frac{\omega h}{c_s} \quad (13)$$

At a specified frequency, the solution for the transformed dynamic stiffness matrix  $[\tilde{S}(a_0)]$  can be obtained from Eq. (11) directly by solving the eigenvalue problem

$$([\tilde{E}^2] - a_0^2[\Lambda^2])[\Psi] = [\Psi][\Omega^2] \quad (14)$$

where the entries of  $[\Omega]$  are either positive real numbers or purely positive imaginary numbers. The solution for the transformed dynamic stiffness matrix is expressed as

$$[\tilde{S}(a_0)] = [\Psi][\Omega][\Psi]^{-1} \quad (15)$$

### 3. Doubly asymptotic continued-fraction solution for transformed dynamic stiffness matrix

To obtain the doubly asymptotic continued-fraction solution, the continued-fraction solution at the high-frequency limit is addressed first

$$[\tilde{S}(a_0)] = [\tilde{K}] + ia_0[\tilde{C}] - [\tilde{Y}^{(1)}(a_0)]^{-1} \quad (16)$$

where  $[\tilde{K}]$  and  $[\tilde{C}]$  are coefficient matrices and  $[\tilde{Y}^{(1)}(a_0)]$  a residual term. Substituting Eq. (16) into Eq. (11) and setting the coefficients of the power series of  $ia_0$  to zero lead to the solutions

$$[\tilde{C}] = [\Lambda]; \quad [\tilde{K}] = 0 \quad (17)$$

and an equation of  $[\tilde{Y}^{(1)}(a_0)]$  expressed as the  $i = 1$  case of

$$[\tilde{Y}^{(i)}(a_0)][\tilde{c}^{(i)}][\tilde{Y}^{(i)}(a_0)] - ia_0[\tilde{b}_1^{(i)}][\tilde{Y}^{(i)}(a_0)] - ia_0[\tilde{Y}^{(i)}(a_0)][\tilde{b}_1^{(i)}]^T + [\tilde{a}^{(i)}] = 0 \quad (18)$$

with

$$[\tilde{a}^{(1)}] = [I] \quad (19a)$$

$$[\tilde{V}^{(1)}] = [I] \quad (19b)$$

$$[\tilde{b}_1^{(1)}] = [\tilde{C}] = [\tilde{V}^{(1)}][\Lambda][\tilde{V}^{(1)}]^{-1} \quad (19c)$$

$$[\tilde{c}^{(1)}] = -[\tilde{E}^2] \quad (19d)$$

The residual term  $[\tilde{Y}^{(i)}(a_0)]$  is decomposed as

$$[\tilde{Y}^{(i)}(a_0)] = ia_0[\tilde{Y}_1^{(i)}] - [\tilde{Y}^{(i+1)}(a_0)]^{-1} \quad (i = 1, 2, 3, \dots, M_H) \quad (20)$$

where  $[\tilde{Y}_1^{(i)}]$  is a coefficient matrix. The constant term is not included as its solution vanishes. By substituting Eq. (20) into Eq. (18), the solution for  $[\tilde{Y}_1^{(i)}]$  is obtained from

$$[\tilde{Y}_1^{(i)}]^{-1} = [\tilde{V}^{(i)}]^{-T} [\tilde{y}_1^{(i)}]^{-1} [\tilde{V}^{(i)}]^{-1} \quad (21)$$

where  $[\tilde{y}_1^{(i)}]^{-1}$  is the solution for

$$[\Lambda][\tilde{y}_1^{(i)}]^{-1} + [\tilde{y}_1^{(i)}]^{-1}[\Lambda] - [\tilde{V}^{(i)}]^T [\tilde{c}^{(i)}][\tilde{V}^{(i)}] = 0 \quad (22)$$

The equation for the residual term  $[\tilde{Y}^{(i+1)}(a_0)]$  is expressed as

$$\begin{aligned} & [\tilde{Y}^{(i+1)}(a_0)][\tilde{c}^{(i+1)}][\tilde{Y}^{(i+1)}(a_0)] - ia_0[\tilde{b}_1^{(i+1)}][\tilde{Y}^{(i+1)}(a_0)] \\ & - ia_0[\tilde{Y}^{(i+1)}(a_0)][\tilde{b}_1^{(i+1)}]^T + [\tilde{a}^{(i+1)}] = 0 \end{aligned} \quad (23)$$

where the following matrices used in the recursive procedure at the high-frequency expansion are updated by

$$[\tilde{a}^{(i+1)}] = [\tilde{c}^{(i)}] \quad (24a)$$

$$[\tilde{V}^{(i+1)}] = [\tilde{Y}_1^{(i)}]^{-1} [\tilde{V}^{(i)}] \quad (24b)$$

$$[\tilde{b}_1^{(i+1)}] = -[\tilde{b}_1^{(i)}]^T + [\tilde{c}^{(i)}] [\tilde{Y}_1^{(i)}] = [\tilde{V}^{(i+1)}] [\Lambda] [\tilde{V}^{(i+1)}]^{-1} \quad (24c)$$

$$[\tilde{c}^{(i+1)}] = [\tilde{a}^{(i)}] \quad (24d)$$

In order to extend the derivation of the continued-fraction solution to the low-frequency limit, the residual term  $[\tilde{Y}^{(M_H+1)}(a_0)]$  is rewritten as  $[\tilde{Y}_L(a_0)]$  and decomposed as

$$[\tilde{Y}^{(M_H+1)}(a_0)] = [\tilde{Y}_L(a_0)] = [\tilde{Y}_{L0}^{(0)}] + ia_0 [\tilde{Y}_{L1}^{(0)}] - (ia_0)^2 [\tilde{Y}_L^{(1)}(a_0)]^{-1} \quad (25)$$

By using Eqs. (16), (20) and (25), the dynamic stiffness matrix is expanded as

$$\begin{aligned} [\tilde{S}(a_0)] &= ia_0 [\tilde{C}] - (ia_0 [\tilde{Y}_1^{(1)}] - (ia_0 [\tilde{Y}_1^{(2)}] - \dots \\ &\quad - (ia_0 [\tilde{Y}_1^{(M_H)}] - ([\tilde{Y}_{L0}^{(0)}] + ia_0 [\tilde{Y}_{L1}^{(0)}] - (ia_0)^2 [\tilde{Y}_L^{(1)}(a_0)]^{-1})^{-1} \dots)^{-1})^{-1} \end{aligned} \quad (26)$$

and by formulating Eq. (26) with  $a_0 = 0$ , the coefficient matrix  $[\tilde{Y}_{L0}^{(0)}]$  is obtained as

$$[\tilde{Y}_{L0}^{(0)}] = \begin{cases} [\tilde{S}(a_0 = 0)] & \text{when } M_H \text{ is odd number} \\ -[\tilde{S}(a_0 = 0)]^{-1} & \text{when } M_H \text{ is even number} \end{cases} \quad (27)$$

where the static stiffness  $[\tilde{S}(a_0 = 0)]$  is obtained from Eqs. (15) and (14). The solution for  $[\tilde{Y}_{L1}^{(0)}]$  is determined from Eq. (28) obtained by substituting Eq. (25) into Eq. (23)

$$([\tilde{Y}_{L0}^{(0)}] [\tilde{c}_L]) [\tilde{Y}_{L1}^{(0)}] + [\tilde{Y}_{L1}^{(0)}] ([\tilde{c}_L] [\tilde{Y}_{L0}^{(0)}]) - [\tilde{b}_{L1}] [\tilde{Y}_{L0}^{(0)}] - [\tilde{Y}_{L0}^{(0)}] [\tilde{b}_{L1}]^T = 0 \quad (28)$$

The residual term  $[\tilde{Y}_L^{(i)}(a_0)]$  satisfies the following equation ( $i = 1$ )

$$\begin{aligned} [\tilde{Y}_L^{(i)}(a_0)] [\tilde{c}_L^{(i)}] [\tilde{Y}_L^{(i)}(a_0)] - [\tilde{Y}_L^{(i)}(a_0)] \left( [\tilde{b}_{L0}^{(i)}]^T + ia_0 [\tilde{b}_{L1}^{(i)}]^T \right) \\ - \left( [\tilde{b}_{L0}^{(i)}] + ia_0 [\tilde{b}_{L1}^{(i)}] \right) [\tilde{Y}_L^{(i)}(a_0)] + (ia_0)^2 [\tilde{a}_L^{(i)}] = 0 \end{aligned} \quad (29)$$

with the coefficient matrices

$$[\tilde{a}_L^{(1)}] = [\tilde{c}_L] \quad (30a)$$

$$[\tilde{b}_{L0}^{(1)}] = [\tilde{c}_L] [\tilde{Y}_{L0}^{(0)}] \quad (30b)$$

$$[\tilde{b}_{L1}^{(1)}] = -[\tilde{b}_{L1}]^T + [\tilde{c}_L] [\tilde{Y}_{L1}^{(0)}] \quad (30c)$$

$$[\tilde{c}_L^{(1)}] = -[\tilde{b}_{L1}] [\tilde{Y}_{L1}^{(0)}] - [\tilde{Y}_{L1}^{(0)}] [\tilde{b}_{L1}]^T + [\tilde{Y}_{L1}^{(0)}] [\tilde{c}_L] [\tilde{Y}_{L1}^{(0)}] \quad (30d)$$

The residual term  $[\tilde{Y}_L^{(i)}(a_0)]$  is decomposed as

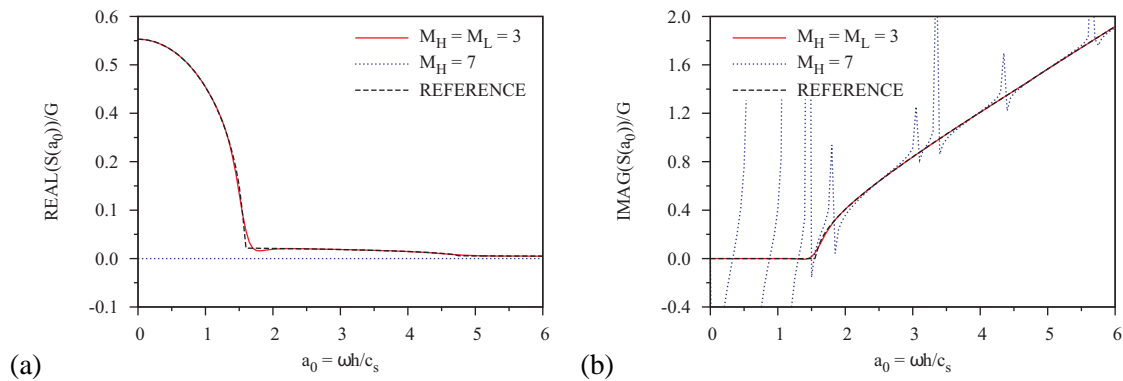
$$[\tilde{Y}_L^{(i)}(a_0)] = [\tilde{Y}_{L0}^{(i)}] + ia_0 [\tilde{Y}_{L1}^{(i)}] - (ia_0)^2 [\tilde{Y}_L^{(i+1)}(a_0)]^{-1} \quad (i = 1, 2, 3, \dots, M_L) \quad (31)$$

with the coefficient matrices  $[\tilde{Y}_{L0}^{(i)}]$  and  $[\tilde{Y}_{L1}^{(i)}]$ . By substituting Eq. (31) into Eq. (29), the solution for  $[\tilde{Y}_{L0}^{(i)}]$  is determined from

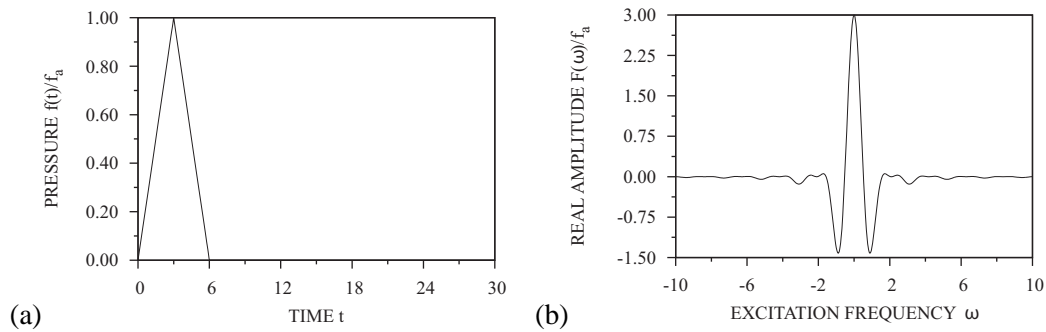
$$[\tilde{Y}_{L0}^{(i)}]^{-1} = [\tilde{V}_L^{(i)}]^{-T} [\tilde{y}_{L0}^{(i)}]^{-1} [\tilde{V}_L^{(i)}]^{-1} \quad (32)$$



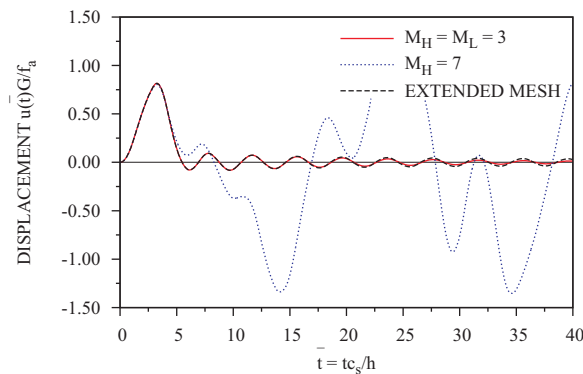




**Figure 3.** Doubly asymptotic continued fraction solution for equivalent dynamic-stiffness coefficient of homogeneous layer: (a) real part and (b) imaginary part



**Figure 4.** Force history: (a) time domain and (b) frequency domain



**Figure 5.** Displacement responses at Point A of homogeneous layer

The transient response of the homogeneous layer subjected to a uniformly distributed pressure  $f(t)$  on the vertical boundary  $\Gamma_V$  is analyzed. The time dependence and the frequency contents are plotted in Fig. 4. The maximum value of the triangular impulse is denoted as  $f_a$ . The highest frequency of interest is  $a_h = 6$ . Newmark’s method with  $\gamma = 0.5$  and  $\beta = 0.25$  (average acceleration scheme) is adopted for the time integration. The shortest dimensionless wave period  $\bar{T} = 2\pi/a_h$  of interest is 1.05. The time step  $\Delta\bar{t}$  is chosen as 0.05. The displacement response at Point A located at the top of the vertical boundary  $\Gamma_V$  (Fig. 2(a)) is plotted in Fig. 5. The result of the doubly asymptotic open boundary agrees well with



that of the extended mesh of finite elements in Fig. 2(c). The result of the singly asymptotic boundary at early time ( $\bar{t} = 4$ ) is accurate, but strong “fictitious reflection” occurs at late time.

5.2. Semi-infinite two-layered system

A two-layered system with depth  $h_1 = h_2 = h/2$  is shown in Fig. 6(a). The shear modulus and mass density are  $G_1$  and  $\rho_1$ , respectively, for Layer 1, and  $G_2 = 9G_1$  and  $\rho_2 = \rho_1$  for Layer 2. The ratio of the shear wave speed in Layer 2  $c_{s2}$  to that in Layer 1  $c_{s1}$  is equal to 3. The dimensionless frequency and time are defined as  $a_0 = \omega h/c_{s1}$  and  $\bar{t} = t c_{s1}/h$ , respectively. The scaled boundary finite-element mesh is shown in Fig. 6(b).

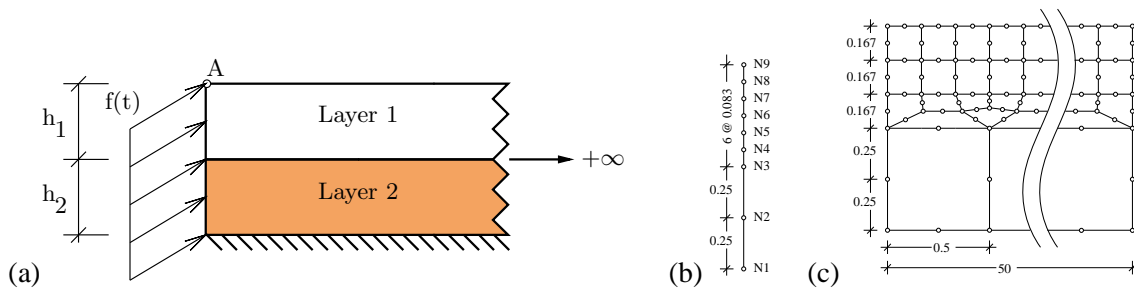


Figure 6. Semi-infinite two-layered system: (a) geometry, (b) SBFE mesh and (c) FE mesh

The equivalent dynamic-stiffness coefficient obtained from the doubly asymptotic open boundary with  $M_H = M_L = 4$  is plotted in Fig. 7. It agrees well with the reference solution obtained from Eqs. (15) and (14). On the other hand, the result of the singly asymptotic continued fraction solution shows significant difference from the reference solution.

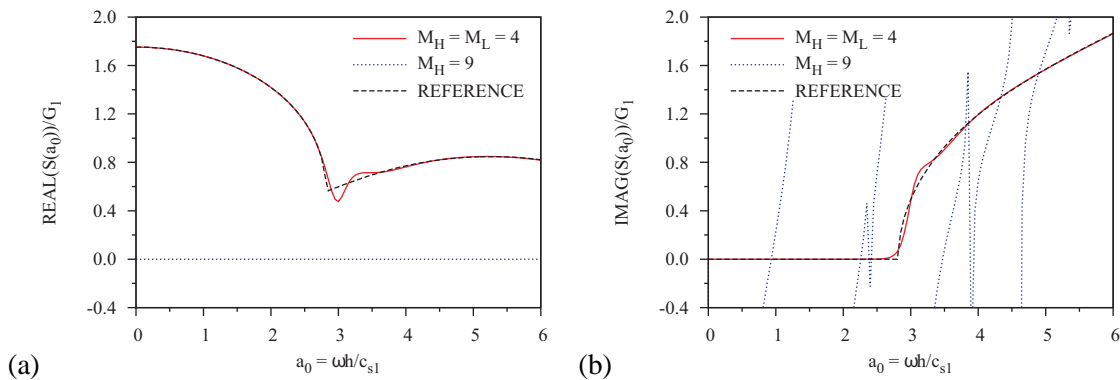
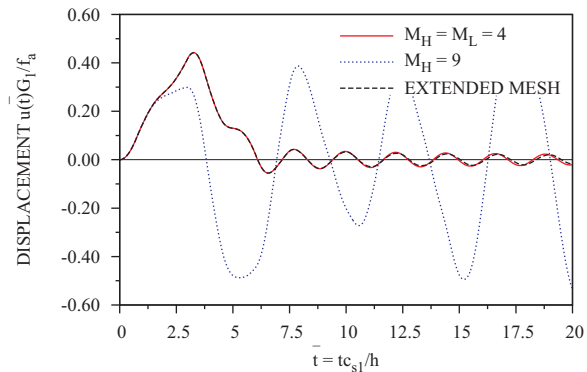


Figure 7. Doubly asymptotic continued fraction solution for equivalent dynamic-stiffness coefficient of two-layered system: (a) real part and (b) imaginary part

The response of the layered system subjected to the pressure  $f(t)$  in Fig. 4(a) is analyzed. The displacement at Point A located at the top of the vertical boundary in Fig. 6(a) is plotted in Fig. 8 as a function of the dimensionless time  $\bar{t}$ . The result obtained from the extended mesh in Fig. 6(c) is shown as the reference solution. It can be seen that an accurate result is obtained from the doubly asymptotic open boundary. Again, significant “fictitious reflection” is observed from the singly asymptotic boundary.



**Figure 8.** Displacement responses at Point A of two-layered system

## 6. Conclusion

The high-order doubly asymptotic open boundary has been constructed herein for the modelling of scalar wave propagation in semi-infinite layered systems. The results of a homogeneous layer and a two-layered system are presented. It can be concluded that

- (i) In the frequency domain, the singly asymptotic continued fraction solution alone cannot model evanescent waves below the cut-off frequencies. This deficiency is overcome by the doubly asymptotic continued fraction solution.
- (ii) In the time domain, the doubly asymptotic open boundary is accurate throughout the whole duration, while the singly asymptotic boundary is accurate only at early time.
- (iii) The high-order doubly asymptotic open boundary is expressed as a system of first-order ordinary differential equations in time with constant static stiffness matrix  $[K_h]$  and damping matrix  $[C_h]$  that are symmetric. It can be coupled seamlessly with finite elements.

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